

# ELECTRONIC STRUCTURE, ELECTRON-PHONON COUPLING, AND MULTIBAND EFFECTS IN $\text{MgB}_2$

I.I. Mazin<sup>†</sup> and V.P. Antropov<sup>\*</sup>

<sup>†</sup>Code 6391, Naval Research Laboratory, Washington, DC 20375

<sup>\*</sup>Ames Laboratory, Ames, IA, 50011

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We review the current situation in the theory of superconducting and transport properties of  $\text{MgB}_2$ . First principle calculations of the electronic structure and electron-phonon coupling are discussed and compared with the experiment. We also present a brief description of the multiband effects in superconductivity and transport, and how these manifest themselves in  $\text{MgB}_2$ . We also mention some yet open questions.

*Is there anything of which one can say: "Look! This is something new"?  
It was here already, long ago; it was here before our time.  
Ecclesiastes, 1:10.*

## I. INTRODUCTION

Many of us remember that fabulous excitement that reigned in physics world after the discovery of high- $T_c$  cuprates. Since then, we have become so familiar with record-breaking temperatures of 90 K, 120 K, 160 K, that it is worth recalling that 15 years ago not only the highest known superconducting temperature was meager 24 K, but it was also believed by many since early 70's [1] that this temperature is close to the theoretical limit for electron-phonon superconductivity.

High- $T_c$  superconductivity revolutionized our approaches both to theory and to experiment. However, in the shadow of mysterious cuprates lower-temperature superconductors were receiving relatively little attention.

This has been changed recently. In 2001 alone, besides the report of 40 K superconductivity in the simple magnesium diboride, exciting cases of superconductivity coexisting with magnetism ( $\text{ZrZn}_2$ ), possibly induced by magnetism ( $\epsilon\text{-Fe}$ ), or competing with magnetism ( $\text{MgCNi}_3$ ) were reported. While all these cases are different and probably manifest quite different physics, all of them indicate that the physics community turned its face back to low-temperature superconductivity. And, of course,  $\text{MgB}_2$  is the champion of the year, hands down.

Very similar to the high- $T_c$  cuprates, immediately after its discovery [2] some authors described  $\text{MgB}_2$  as an extreme case of conventional, "Eliashberg" superconductivity, an extremely lucky combination of the fortunate parameters [3,4], while the others suggested variety of exotic electronic mechanisms, possibly similar to cuprates [5–9]. But the analogy stops here. Now, two years after the discovery, we already have much better understanding and much more universal consensus about the physics of  $\text{MgB}_2$ , than about cuprates. In fact, an agreement emerges that it is, albeit still an electron-phonon superconductor, a case of genuinely novel physics, sufficiently unusual to set it apart from all previous electron-phonon

superconductors [10].

One of the main factors that distinguishes  $\text{MgB}_2$  from the high- $T_c$  cuprates is that the electronic structure of this materials is very well described by conventional band-theoretical methods, which have been perfected in the last decades to the level that allows unprecedentedly detailed first-principle calculations of electron and phonon spectra, and of the electron-phonon calculations. Excellent agreement of such *ab initio* calculations with the experiment literally leaves hardly any room to play with exotic, but hardly verifiable models, so popular in the high- $T_c$  world. In this Chapter we will try to present a broad view on the physics of  $\text{MgB}_2$ , as it currently emerges from the first-principle calculation, and seems to be fully supported by the experiment.

The Chapter is organized as follows: The electronic structure of bulk  $\text{MgB}_2$  is discussed in Section 2, which also deals with some experiments that give credit to the calculated band structure. In Section 3 we discuss first principles calculations of the phonon spectra and the electron-phonon coupling (EPC). Section 4 is devoted to the discussion of multiband effects in  $\text{MgB}_2$ .

## II. ELECTRONIC STRUCTURE

### A. General description

$\text{MgB}_2$  occurs in the  $\text{AlB}_2$  structure. Boron atoms reside in graphite-like (honeycomb) layers stacked with no displacement [11] forming hexagonal prisms with the base translation almost equal to the height,  $a = 3.085$  (3.009) Å and  $c/a = 1.142$  (1.084) for  $\text{MgB}_2$  ( $\text{AlB}_2$ ). These prisms contain large, nearly spherical pores occupied by Mg atoms. This structure may therefore be regarded as that of completely intercalated graphite [12] with carbon replaced by boron, its neighbor in the periodic table. Furthermore,  $\text{MgB}_2$  is formally isoelectronic to graphite. Therefore, chemical bonding and electronic

properties of  $\text{MgB}_2$  are expected to have some similarity to those of graphite and graphite intercalation compounds, some of which also exhibit superconductivity. As in graphite ( $R_{\text{intra}}=1.42 \text{ \AA}$ ), the intralayer B-B bonds are much shorter than the interlayer distance, and hence the B-B bonding is strongly anisotropic. However, the intralayer bonds are only twice as short as the interlayer ones, compared to the ratio of 2.4 in graphite, allowing for a significant interlayer hopping. For comparison, the interatomic distance between nearest neighbors is  $1.55 \text{ \AA}$  in diamond and  $1.4\text{--}1.45 \text{ \AA}$  in the  $\text{C}_{60}$  molecule.

In spite of a structural similarity to intercalated graphite and, to some extent, to doped fullerenes,  $\text{MgB}_2$  has a qualitatively different and rather uncommon structure of the conducting states setting it aside from both these groups of superconductors. The peculiar and (so far) unique feature of  $\text{MgB}_2$  is the incomplete filling of the two  $\sigma$  bands corresponding to strongly covalent,  $sp^2$ -hybrid bonding within the graphite-like boron layer. The holes at the top of these  $\sigma$  bands manifest notably two-dimensional properties and are localized within the boron sheets, in contrast with mostly three-dimensional electrons and holes in the  $\pi$  bands, which are delocalized over the whole crystal. These 2D covalent and 3D metallic-type states contribute almost equally to the total density of states (DOS) at the Fermi level, while the unfilled covalent bands experience strong interaction with longitudinal vibrations in the boron layer.

The band structure of  $\text{MgB}_2$  had been reported long before the discovery of superconductivity [13–16] and is now known in very detail. The results discussed in this Chapter were obtained using LMTO-ASA, full-potential LMTO, or full-potential LAPW method. Computational details may be found in respective original publications. For  $\text{MgB}_2$ , there is usually little difference between different methods, in any event, none important for the qualitative discussions in this Chapter.

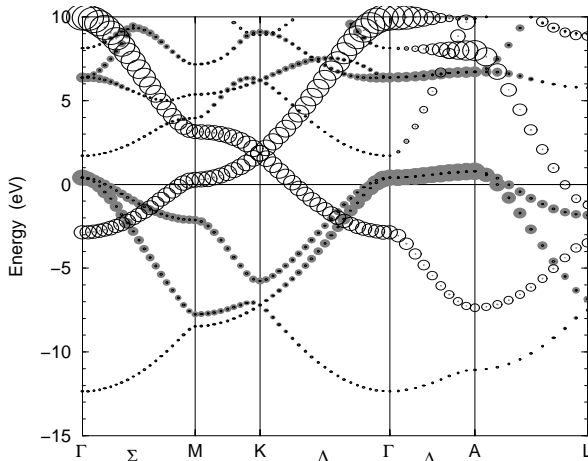


FIG. 1. Bandstructure of  $\text{MgB}_2$  with the B p-character. The radii of the hollow (filled) circles are proportional to the  $\pi$  ( $\sigma$ ) character.

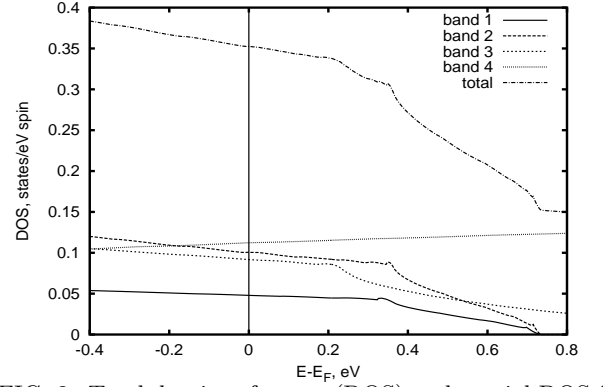


FIG. 2. Total density of states (DOS) and partial DOS for  $\text{MgB}_2$ . Bands 1,2 are  $\sigma$  bands, bands 3,4 are  $\pi$  bands

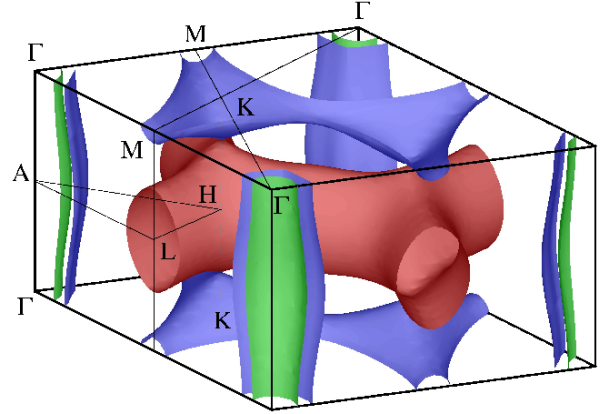


FIG. 3. Fermi surface of  $\text{MgB}_2$ .

The energy bands, DOS and the Fermi surface of  $\text{MgB}_2$  are shown in Figs. 1, 2 and 3. As expected, the bands are quite similar to those of graphite with three bonding  $\sigma$  bands corresponding to in-plane  $sp_xp_y$  ( $sp^2$ ) hybridization in the boron layer and two  $\pi$  bands (bonding and antibonding) formed by aromatically hybridized boron  $p_z$  orbitals. Both  $\sigma$  and  $\pi$  bands have strong in-plane dispersion due to the large overlap between all  $p$  orbitals (both in-plane and out-of-plane) for neighboring boron atoms. The interlayer overlaps are much smaller, especially for  $p_{xy}$  orbitals, so that the  $k_z$  dispersion of  $\sigma$  bands does not exceed 1 eV. On the other hand, in contrast to intercalated graphites, two of the  $\sigma$  bands are filled incompletely. Together with weak  $k_z$  dispersion this results in the appearance of two nearly cylindrical sheets of the Fermi surface (see Fig. 3) around the  $\Gamma$ -A line. As we will see below from the analysis of the charge density distribution, these unfilled  $\sigma$  bands with boron  $p_{xy}$  character fully retain their covalent structure. Conducting covalent bonds represent a peculiar feature of  $\text{MgB}_2$  making it an exotic compound probably existing on the brink of structural instability.

It is seen in Fig. 3 that the  $\pi$  bands form two planar

honeycomb tubular networks: an antibonding electron-type sheet centered at  $k_z = 0$  (red) and a similar, but more compact, bonding hole-type sheet centered at  $k_z = \pi/c$  (blue). These two sheets touch at some point on the K-H line. The hole-type sheet is close to an electronic topological transition (ETT) at the M point corresponding to the breakdown of the tubular network into separate starfish-like pockets (at 0.25 eV above  $E_F$ ).

In order to examine the relation between the band structure of  $\text{MgB}_2$  and that of graphite in more detail one can compare the following hypothetical sequence of intermediate materials: carbon in the ‘primitive graphite’ (PG) lattice with no displacement between layers as in  $\text{MgB}_2$ , using graphite lattice parameters; boron in the PG lattice with  $a$  as in  $\text{MgB}_2$  and  $c/a$  as in graphite; boron in the PG lattice with  $a$  and  $c/a$  as in  $\text{MgB}_2$ ;  $\text{LiB}_2$  in the same structure;  $\text{MgB}_2$  itself. The results of some of these calculations [17,18] are shown in Fig. 4.

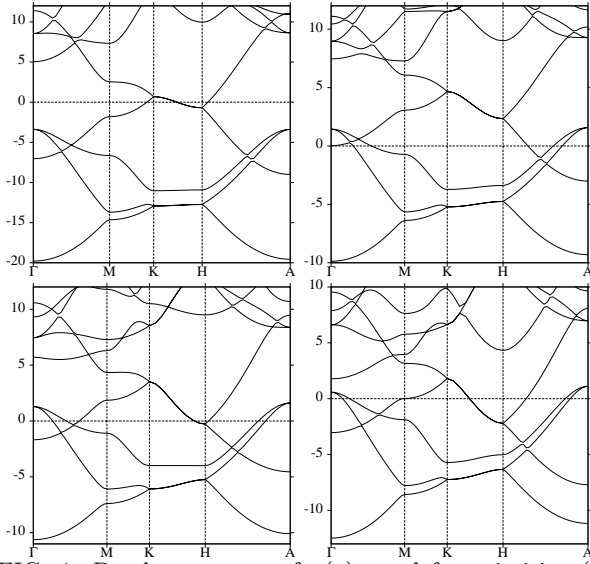


FIG. 4. Band structures of: (a) top left: primitive (AA stacking) graphite (PG),  $a = 2.456\text{\AA}$ ,  $c/a = 1.363$ ; (b) top right: PG boron,  $a = 3.085\text{\AA}$ ,  $c/a = 1.142$  (as in  $\text{MgB}_2$ ); (c) bottom left:  $\text{LiB}_2$  in  $\text{MgB}_2$  structure, same  $a$  and  $c/a$ ; (d) bottom right:  $\text{MgB}_2$ , same  $a$  and  $c/a$ . Energy is in eV relative to  $E_F$ . The order of occupied bands in the  $\Gamma$  point is  $\sigma$  bonding with boron  $s$  character,  $\pi$  bonding with boron  $p_z$  character, and  $\sigma$  bonding with boron  $p_{xy}$  character (double degenerate).

The band structure of PG carbon shown in Fig. 4a is very similar to that of graphite [19] with the appropriate zone-folding for a smaller unit cell. (This is quite natural because of the weak interlayer interaction.) Boron in the same lattice (not shown) has nearly identical bands with the energies scaled by the inverse square of the lattice parameter, in agreement with canonical tight-binding scaling [20]. Fig. 4b shows the natural enhancement of the out-of-plane dispersion of the  $\pi$  bands when the interlayer distance is reduced. Figs. 4c and 4d demonstrate that ‘in-

tercalation’ of boron by Li or Mg produces a significant distortion of the band structure, so that the role of the intercalant is not simply donating electrons to boron’s bands (which would recover the band structure of PG carbon shown in Fig. 4a). The main change upon intercalation is the downward shift of the  $\pi$  bands compared to  $\sigma$  bands. For Li this shift of  $\sim 1.5$  eV is almost uniform throughout the Brillouin zone. Replacement of Li by Mg shifts the  $\pi$  bands further, but this shift is strongly asymmetric increasing from  $\sim 0.6$  eV at the  $\Gamma$  point to  $\sim 2.6$  eV at the A point. In addition, the out-of-plane dispersion of the  $\sigma$  bands is also significantly enhanced. In  $\text{LiB}_2$  the filling of the bonding  $p_{xy}$  bands is nearly the same as in PG boron, while in  $\text{MgB}_2$  the Fermi level shifts closer to the top of these bands.

The lowering of the  $\pi$  bands in  $\text{MgB}_2$  compared to PG boron is due to stronger interaction of boron  $p_z$  orbitals with ionized magnesium sublattice compared to  $p_{xy}$  orbitals. This lowering is greater at the AHL plane compared to the  $\Gamma\text{KM}$  plane, because the antisymmetric (with  $k_z = \pi/c$ ) overlap of the boron’s  $p_z$  tails increases the electronic density close to the magnesium plane where its attractive potential is the strongest.

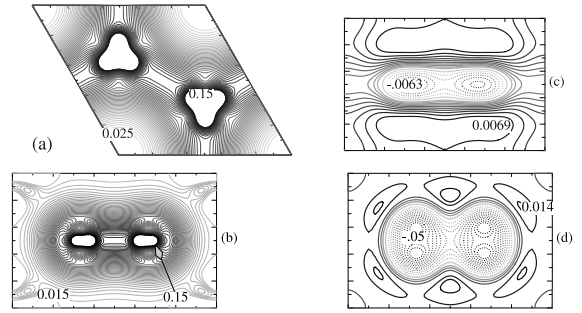


FIG. 5. Pseudocharge density contours obtained in FLMTO. The unit cell is everywhere that of  $\text{MgB}_2$ . Darkness of lines increases with density. (a)  $\text{MgB}_2$  in (0002) plane passing through B nuclei; (b)  $\text{MgB}_2$  in (1000) plane passing through Mg nuclei at each corner of the figure. B nuclei occupy positions  $(1/3, 1/2)$  and  $(2/3, 1/2)$  in the plane of the figure. The integrated charge of the unit cell is 8. (c) (1000) plane, difference in smoothed density,  $\text{MgB}_2$  minus  $\text{NaB}_2$ . The integrated charge of the unit cell is 1. (d) (1000) plane, difference in smoothed density,  $\text{MgB}_2$  minus PG carbon. The integrated charge of the unit cell is 0. In (c) and (d), dotted lines show negative values.

The nature of bonding in  $\text{MgB}_2$  may be understood from the charge density (CD) plots [18] shown in Fig. 5. As it is seen in Fig. 5a, bonding in the boron layer is typically covalent. The CD of the boron atom is strongly aspherical, and the directional bonds with high CD are clearly seen (see also Ref. [16]). The CD distribution in the boron layer is very similar to that in the carbon layer of graphite [19]. This directional in-plane bonding is also

obvious from Fig. 5b showing the CD in the cross section containing both Mg and B atoms. However, Fig. 5b also shows that a large amount of valence charge does not participate in any covalent bonding, but is rather distributed more or less homogeneously over the whole crystal. Further, Fig. 5c shows the difference of the CD of  $\text{MgB}_2$  and that of hypothetical  $\text{NaB}_2$  in exactly the same lattice. Not only does it show that one extra valence electron is not absorbed by boron atoms but that it is rather delocalized in the interstitials; it also shows that some charge moves away from the boron atoms and covalent in-plane B-B bonds. Fig. 5d shows the CD difference between the isoelectronic compounds  $\text{MgB}_2$  and PG carbon ( $\text{C}_2$ ). In  $\text{MgB}_2$ , the electrons see approximately the same external potential as in  $\text{C}_2$ , except that one proton is pulled from each C nucleus and put at the Mg site. It is evident that the change  $\text{C}_2 \rightarrow \text{MgB}_2$  weakens the two-center  $\sigma$  bonds (the charge between the atoms is depleted) and redistributes it into a delocalized, metallic density.

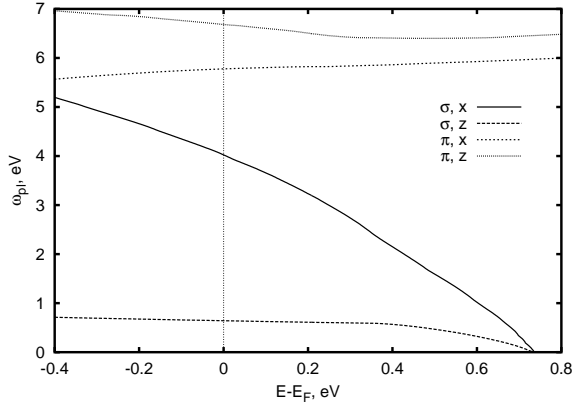


FIG. 6. Plasma frequencies for  $\sigma$  and  $\pi$  bands.

A numerical reconstruction of the electronic charge density from the synchrotron radiation data for a powder  $\text{MgB}_2$  sample [21] supports this general picture. The charge density found for 15 K is, in fact, very similar to that in Fig. 5b and shows all the important features discussed above including the distinct covalent bonds within the boron sheets, the strongly ionized Mg, and the delocalized charges in the interstitials. Further, the Fourier maps obtained [11] for the single crystals also clearly show the covalent  $sp^2$  hybrids in the boron layer and no covalent bonding between B and Mg atoms.

Thus, one can say that  $\text{MgB}_2$  is held together by strongly *covalent* bonds within boron layers and by delocalized, ‘*metallic-type*’ bonds between these sheets. A peculiar feature of this compound is that electrons participating in both of these bond types provide comparable contributions to  $N$ . This distinguishes  $\text{MgB}_2$  from closely related graphites where covalent bonds in the carbon layers are always completely filled, while the nearly cylindrical parts of the Fermi surface commonly found in

those compounds are formed by carbon-derived  $\pi$  bands which are much less 3D than the corresponding bands in  $\text{MgB}_2$  [22].

Because of the coexistence of two different types of conducting states, one needs to see the contributions to the total DOS and transport properties from separate sheets of the Fermi surface originating from 2D covalent and 3D metallic-type bonding. This decomposition is shown in Fig. 2 for the DOS and in Fig. 6 for the in-plane ( $xx$ ) and out-of-plane ( $zz$ ) components of the plasma frequency  $\omega_{pl\alpha}^2 = (e^2/2\pi^2) \int v_\alpha^2 \delta[\epsilon(\mathbf{k}) - E_F] d\mathbf{k}$ , where  $v_\alpha$  is the  $\alpha$ -component of the Fermi velocity. The 3D (metallic-type bonding) and cylindrical (covalent bonding) parts of the Fermi surface contribute, respectively, about 58% and 42% to  $N(E_F)$ . If the  $\sigma$  Fermi surfaces were ideal cylinders,  $N(E)$  for these bands would have a step-like singularity at some 0.5 eV above  $E_F$ . This is broadened by a nonzero  $z$ -dispersion. The hole  $\pi$ -band has a 3D van Hove singularity in the same range of energies, while the electron-like  $\pi$ -band has a DOS which is rather flat around  $E_F$ .  $\pi$ -bands contribute about 80% to the total  $\omega_{pl}^2$ , and thus, given the same relaxation rate for all bands, to total conductivity. While the total conductivity is more or less isotropic, the  $\sigma$ -band conductivity is, as expected, highly anisotropic.

## B. Experimental probes of electronic structure

It is well known that in some materials conventional band structure calculations do not reproduce the experimental one-electron excitation spectra with sufficient accuracy. These cases usually involve strongly correlated materials (cuprates, heavy fermions, etc) with localized  $d$ - or  $f$ -electrons. On the first glance,  $\text{MgB}_2$  does not seem to belong to any of such classes. However, it was important to verify experimentally how reliable are LDA calculations in this compound.

One of the most popular experimental probes of electronic band structure is angular-resolved photoemission spectroscopy (ARPES), particularly in view of remarkable progress achieved in the last decade. In spite of the fact that ARPES probes only a very thin surface layer and is therefore not always representative of the bulk electronic structure, first experiments [23] show an exceptional agreement between the theory and the experiment in the whole studied energy range. Both  $\sigma$  bands and  $\pi$  band were observed along the  $\Gamma M$  direction, as predicted by the calculations. Along  $\Gamma K$  direction only one out of the two predicted  $\sigma$  bands was observed; the authors speculated that the single experimental feature in this region may result from the superposition of the two bands. On the other hand, the fact that the band in question has different symmetry along the two measured directions may contribute to the selection rules. In addition, the analysis of the electronic states centered

around the  $\Gamma$  point revealed that this feature originated from a surface electronic state, which is in good overall agreement between APRES and theoretical results for the Mg-terminated surface [24]. Unfortunately, to the best of our knowledge, surfaces with partial Mg coverage, say, 50%, were not studied theoretically, although this is the most likely termination. Possibly even better agreement can be achieved if such termination will be included in the calculations.

A classical probe of the Fermi surface properties are quantum oscillations, *e.g.*, de Haas-van Alphen (dHvA) effect. Such measurements have been reported [25]. Three dHvA frequencies were clearly resolved in data from Ref. [25], corresponding to two distinct sheets of the Fermi surface. A comparison of the calculated frequencies [26–28] with the experimental data shows excellent agreement. The discrepancies with the theory are less than 300 T which is only 0.2% of the area of the hexagonal BZ. The detailed angular dependence of  $F_1$ ,  $F_2$  and  $F_3$  has been calculated in Ref. [26] and compares favorably with the experimental results. The ratio of experimental and theoretical effective masses provides mass renormalization, presumably of electron-phonon origin, which appears to be 1.08-1.2 for the inner  $\sigma$  cylinder and 0.40 for the  $\pi$  sheet. This is to be compared with the calculated numbers of 1.25 [10], 1.57 [29],  $\sim 1.1$  [30], and 0.47 [10], 0.50 [29],  $\sim 0.33$  [30]; a rather good agreement. Overall, ARPES and dHvA experiments, taken together, fully support LDA calculations, leaving hardly any room for many body renormalization of the band masses and velocities, apart from the EPC renormalization.

It is worth noting that for the  $\pi$  orbit it was possible to estimate the local Stoner enhancement factor. It appears that LDA calculations underestimate the exchange splitting induced by a magnetic field by about 50%. The reason for this discrepancy is not clear yet. On the other hand, electron spin resonance measurements [31,32] found electronic spin susceptibility of  $(2.0 - 2.3) \times 10^{-5}$  emu/mole, corresponding to a Stoner renormalization of 50% *less* than calculated [33,34].

Since both ARPES and DHVA spectroscopy in  $\text{MgB}_2$  are described in detail in other Chapters of this book, we shall refer the reader to those, and will concentrate in the following on another probe of the electronic structure near the Fermi level, namely nuclear magnetic resonance (NMR).

NMR spectroscopy measures two electronic structure related quantities, the Knight shift,  $K$ , and the spin-lattice relaxation rate,  $1/T_1T$ . The former is related to the uniform spin susceptibility, the latter to the local susceptibility at a nucleus. Both are linked to the DOS at the Fermi level, but in an indirect way involving hyperfine interactions. Therefore extracting reliable information about the electronic structure is usually possible only if the corresponding calculations of the hyperfine field are available.

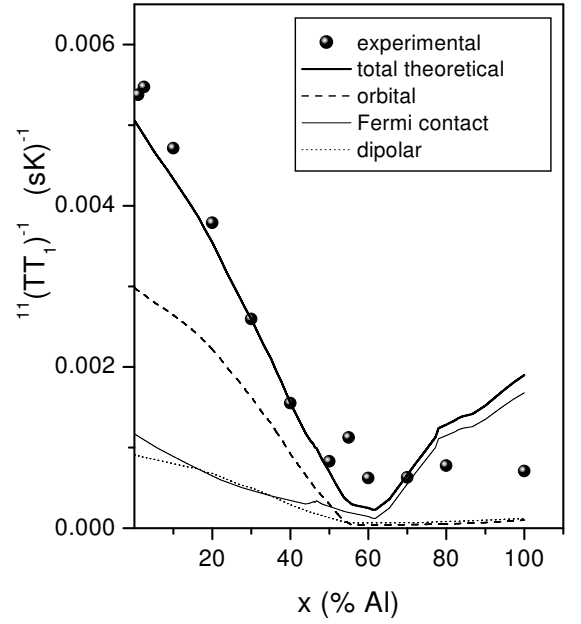


FIG. 7. Boron  $^{11}(1/T_1T)$  for  $\text{Mg}_{1-x}\text{Al}_x\text{B}_2$  as a function of Al-doping. Lines show the *ab initio* calculated plots from Refs. [17,18]

For  $\text{MgB}_2$ , this is the case. Several experimental groups reported  $1/T_1T$  [35–37] and  $K$  for the B site [36,37], which is of particular interest because of the role that B states play in superconductivity. Two groups reported first principles calculations for  $1/T_1T$  [33,34] and for  $K$  [34]. Importantly, it appears that NMR in  $\text{MgB}_2$  not only probes B electrons, but it also probes differently  $\sigma$  and  $\pi$  bands. Indeed, since  $\sigma$  bands are formed by the  $p_x$  and  $p_y$  states, they can form  $p_x \pm p_y$  combinations, which have nonzero orbital moment. One can therefore expect considerable orbital contribution to the relaxation rate. Indeed, calculations show [33,34] that the orbital mechanism dominates over the two others, the Fermi-contact and the spin-dipolar, mechanisms in the spin-lattice relaxation. On the contrary, for the Mg nucleus the dominant relaxation mechanism is, as usually, the Fermi-contact interaction, which also dominates the B and Mg Knight shift [34].

The results of the calculations agree well with the experiment. The experimental numbers for  $1/T_1T$  on  $^{11}\text{B}$  are in a range of  $(5.6 - 6.1) \times 10^{-3}/(\text{K}\cdot\text{sec})$ . Calculations using bare susceptibility produce numbers of  $5.1 \times 10^{-3}/(\text{K}\cdot\text{sec})$  [33] and  $3.7 \times 10^{-3}/(\text{K}\cdot\text{sec})$  [34]. This numbers are subject to many body renormalization. Renormalized values involve additional assumptions; in Ref. [33] the renormalized relaxation rate was estimated to be  $8.1 \times 10^{-3}/(\text{K}\cdot\text{sec})$ , while Ref. [34] gives a range of  $(4.3-5.9) \times 10^{-3}/(\text{K}\cdot\text{sec})$ . As regards the Knight shift, unfortunately, the spread of the experimentally obtained values is still too large to allow for a quantitative com-

parison with the calculations.

As mentioned above, the NMR relaxation rate is very sensitive to the relative amount of  $\sigma$  and  $\pi$  states, which implies a nontrivial dependence on the filling of the  $\sigma$  bands. This was indeed calculated in Ref. [18] for  $\text{MgB}_2$  doped with Al (whose primary effect is to fill  $\sigma$  hole states). In Ref. [38] the theoretically predicted in Ref. [18] tendencies were experimentally verified for the entire  $\text{Mg}_{1-x}\text{Al}_x\text{B}_2$  system of alloys. Very impressive agreement was obtained (Fig.7).

### III. ELECTRON-PHONON COUPLING

#### A. Standard formulas

Standard description of the EPC in metals is sometimes referred to as the Migdal-Eliashberg theory. We are not going to review this theory here, as it can be found in many excellent texts, but will briefly remind the basic formulas of this theory. The primary notion of this formalism is that of the linear EPC vertex,  $g_{\mathbf{k},\mathbf{k}+\mathbf{q},\nu} = \langle \mathbf{k} | dV/dQ_{\mathbf{q},\nu} | \mathbf{q} \rangle$ , where  $dV/dQ_{\mathbf{q},\nu}$  is the derivative of the crystal potential with respect to the normal phonon coordinate.  $\mathbf{k}, \mathbf{k} + \mathbf{q}$  stand for the electron wave vectors, and  $\mathbf{q}, \nu$  for the wave vector and the mode

index of the phonon whose interaction with the electrons is being described. In other words,  $g_{\mathbf{k},\mathbf{k}+\mathbf{q},\nu}$  is the probability of an electron to be scattered from the state  $|\mathbf{k}\rangle$  into the state  $|\mathbf{k} + \mathbf{q}\rangle$  by the phonon  $(\mathbf{q}, \nu)$ . Migdal theorem (which holds for  $\text{MgB}_2$ ) states that this vertex is not renormalized by higher order processes. It does not state, however, as discussed below, that anharmonic corrections to the phonon spectra or nonlinear vertices like  $\langle \mathbf{k} | d^2V/dQ_{\mathbf{q},\nu}^2 | \mathbf{k} + \mathbf{q} \rangle$  are necessarily negligible.

$g_{\mathbf{k},\mathbf{k}+\mathbf{q},\nu}$ , if properly integrated over all possible virtual electron-hole pairs, defines the phonon self energy. In particular, its imaginary part, the phonon linewidth, is given by

$$\gamma_{\mathbf{q},\nu} = 2\pi\omega_{\mathbf{q},\nu} \sum_{\mathbf{k}} |g_{\mathbf{k},\mathbf{k}+\mathbf{q},\nu}|^2 \delta(\varepsilon_{\mathbf{k}} - E_F) \delta(\varepsilon_{\mathbf{k}+\mathbf{q}} - \varepsilon_{\mathbf{k}} - \hbar\omega_{\mathbf{q},\nu}).$$

In this formula, the right-hand side does not explicitly depend on  $\omega_{\mathbf{q},\nu}$  (the prefactor cancels the corresponding factor in  $|g_{\mathbf{k},\mathbf{k}+\mathbf{q},\nu}|^2$ ). Sometimes a related quantity, the EPC constant for a given mode, is used:  $\lambda_{\mathbf{q},\nu} = \gamma_{\mathbf{q},\nu}/\pi N(E_F)\omega_{\mathbf{q},\nu}^2$ . One may note that this quantity is strictly zero for optical zone center ( $\mathbf{q} = 0$ ) phonons; however, a related constant can be introduced,  $\lambda_{\nu}^{ZZ} = [2N(E_F)/\omega_{\nu}] \sum_{\mathbf{k}} |g_{\mathbf{k},\mathbf{k},\nu}|^2$ , and  $g_{\mathbf{k},\mathbf{k},\nu}$  is obviously related to the deformation potential.

When integrated over all phonon modes and corresponding intermediate electron states,  $g_{\mathbf{k},\mathbf{k}+\mathbf{q},\nu}$  defines the electron self-energy, or mass renormalization  $(m^*/m)_{\mathbf{k}}$ :

$$\left(\frac{m^*}{m}\right)_{\mathbf{k}} - 1 = \sum_{\mathbf{q},\nu} \frac{2}{N(E_F)\omega_{\mathbf{q},\nu}} |g_{\mathbf{k},\mathbf{k}+\mathbf{q},\nu}|^2 \delta(\varepsilon_{\mathbf{k}} - E_F) \delta(\varepsilon_{\mathbf{k}+\mathbf{q}} - \varepsilon_{\mathbf{k}} - \hbar\omega_{\mathbf{q},\nu})$$

Finally, when integrated over all phonons with given frequency and over electronic states at the Fermi level, it defines the EPC spectral function, which determines superconducting properties of a single-gap superconductor,

$$\alpha^2 F(\omega) = (1/2) \sum_{\mathbf{q},\nu} \omega_{\mathbf{q},\nu} \lambda_{\mathbf{q},\nu} \delta(\omega - \omega_{\mathbf{q},\nu}),$$

which can be broken into  $n \times n$  matrix separating the interband pairing interaction from the intraband one

$$\alpha^2 F(\omega)_{ij} = (1/N_i(E_F)) \sum_{\mathbf{q},\nu} \sum_{\mathbf{k} \in i, \mathbf{k}+\mathbf{q} \in j} |g_{\mathbf{k},\mathbf{k}+\mathbf{q},\nu}|^2 \delta(\varepsilon_{\mathbf{k}+\mathbf{q}} - \varepsilon_{\mathbf{k}} - \hbar\omega_{\mathbf{q},\nu}) \delta(\omega - \omega_{\mathbf{q},\nu}),$$

where  $i, j$  label different electronic bands or group of bands, *e.g.*,  $i = \sigma, \pi$ .

#### B. First principle calculations

In the first publication [3] following the discovery of SC in  $\text{MgB}_2$  the strength of the EPC was estimated and it was suggested that  $\text{MgB}_2$  is a standard BCS superconductor, where coupling with the B phonons is the driving force for superconductivity. A substantial B, but small Mg isotope effects were predicted. Both predictions were confirmed by the experiment [39,40]. The relevant phonons were soon identified in Ref. [4] as two optical

$E_{2g}$  modes, which was confirmed by subsequent full-scale calculations of EPC.

Because of pronounced dissimilarity between different electron groups and different phonon modes it is unavoidable for understanding superconductivity in  $\text{MgB}_2$  to calculate EPC spectral function  $\alpha^2 F(\omega)$  including all bands and all phonons on the same footing. By now, at least four groups have claimed to have done this from the first principles [10,29,30,41]. Three of these [10,30,41] were based on pseudopotential band structure calculations;

one [29] utilized a full-potential LMTO method. Three used the linear response formalism to compute phonon spectra and electron-phonon matrix elements [10,29,41]; one [30] was based on frozen phonon calculations at several high-symmetry point with a subsequent interpolation onto a finer mesh. The last work also used an anharmonic correction to the phonon frequencies, which the other three works did not include (Ref. [10] provided a rough estimate of the effect). The results are compared in Table I, and the  $E_{2g}$  frequencies are compared with selected frozen phonon calculations.

The last two columns in Table I show *isotropic*, or thermodynamic EPC constant; as discussed later, it is

probably not directly relevant to superconductivity, but it defines the average electronic mass renormalization, and thus the renormalization of specific heat. The latest experiments [42–44] (the latter two on single crystals), reported for the electronic specific heat coefficient the values of  $\gamma = 2.5, 2.3$ , and  $3.5$  mJ/mole·K<sup>2</sup>, respectively (the discrepancy may be partially related to different temperature ranges used in fitting). The unrenormalized DOS (Table I) corresponds to  $\gamma = 1.67$  mJ/mole·K<sup>2</sup>, yielding  $\lambda$  from 0.4 to 1.1. While clearly inconclusive, these numbers are equally consistent with all entries in the Table I.

TABLE I. Electron-phonon calculations and selected calculations of other relevant parameters, as reported in the literature.

	$\omega_{E_{2g}}^{harm}, \text{cm}^{-1}$	$\omega_{E_{2g}}^{anharm}, \text{cm}^{-1}$	$\omega_{log}, \text{cm}^{-1}$	$N(E_F), \text{st./Ry spin}$	$\lambda^{harm}$	$\lambda^{anharm}$
Ref [29]	540 <sup>(a)</sup>		504	4.83	0.87	
Ref [41]	536		487		0.73	
Ref [10]			450	4.83	0.77	0.70
Ref [30]	506	612	479	4.83	0.73	0.61
FPLAPW [10]	536 <sup>(a)</sup>	590		4.80		

<sup>(a)</sup>updated results with a better  $k$ -point convergence (J. Kortus, private communication)

As regards the EPC there is a noticeable discrepancy between different calculations, despite an overall agreement. Part of that may be due to different band structure techniques, but the difference is too large to be ascribed to the band structure difference alone (note nearly perfect agreement between the calculated DOS in Table I). At least part of the difference comes from the difference in the calculated phonon frequencies. Direct calculations of the phonon frequencies by the frozen-phonon technique are generally more reliable and less sensitive to the size of the basis set than linear response methods. All-electron calculations are usually more reliable than pseudopotential calculations. Therefore we included in the Table the results of full-potential LAPW calculations. In view of high sensitivity to the phonon spectra, the fact that only a handful of high-symmetry points were treated from the first principles in Ref. [30] is a weak point of this work.

However, the differences in the phonon spectra do not explain the discrepancy in the value of the calculated EPC constants. To understand where this discrepancy possibly originates, let us note that if the  $\sigma$ -band Fermi surfaces were ideal cylinders (which they nearly are), the EPC for the  $E_{2g}$  phonons would have two Kohn-like divergencies [45]. Indeed, it is easy to show that in this case the partial EPC constant for a  $E_{2g}$  phonon with a wave vector  $q$ ,  $\lambda_q$ , is given by the expression

$$\lambda_q \approx \frac{\langle g^2 \rangle}{2\pi E_F \omega_q x \sqrt{1-x^2}}$$

where  $\langle g^2 \rangle$  is the average EPC matrix element,  $\omega_q \approx \omega_0$  is the phonon frequency, and  $x = q/2k_F$ . Note that  $\lambda_q$  is inversely proportional to the Fermi energy, and therefore to the number of phonons with  $q < 2k_F$ , so that the total  $\lambda$  given by the sum over all  $E_{2g}$  phonons does not depend on the size of the Fermi surface. This is, of course, simply a reflection of the fact that the DOS of a 2D band does not depend on the Fermi energy, and the total  $\lambda$  is, essentially, just total DOS times the average squared EPC matrix element.

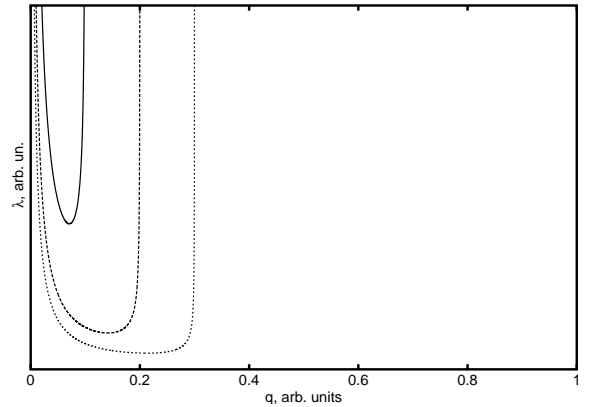


FIG. 8. Dependence of the partial EPC constant on the phonon wave vector for a cylindrical Fermi surface. Note singularities at small  $q$  and at  $q = 2k_F$ . Three curves correspond to three different  $k_F$ , but all integrate to the same total  $\lambda$ .

This function is plotted in Fig.8. Essentially, in the calculations like Refs. [10,29,30,41] one needs either to integrate these singularities numerically or apply to them a special analytical treatment. The first approach was employed in Refs. [29,41,30]. In particular, in Ref. [29] a special care was taken to assure that the singularity was properly integrated. In Ref. [10] the small  $q$  singularity was treated analytically; but not the high- $q$  one. Later estimates [I.I. Mazin, unpublished] show that the discrepancy between Refs. [29] and [10] is substantially reduced when the high- $q$  singularity is treated analytically as well, although the total  $\lambda$  remains slightly smaller than in Ref. [29].

### C. Phonon renormalization, anharmonicity, and nonlinear coupling

In this Section we will address several seemingly unrelated, but in fact strongly connected issues. As mentioned above, calculated frequencies of the  $E_{2g}$  phonon show strong anharmonicity [30]. At the same time, calculations show this phonon to soften abruptly around  $q < 2k_F$ , where  $k_F$  is the Fermi vector for the  $\sigma$  bands [29,41]. Finally, it was noticed that the matrix elements for quadratic EPC,  $g^{quad} = \langle |\delta^2 V / \delta Q^2| \rangle$  are anomalously large compared with that for the linear coupling,  $g^{lin} = \langle |\delta V / \delta Q| \rangle$  [10,46].

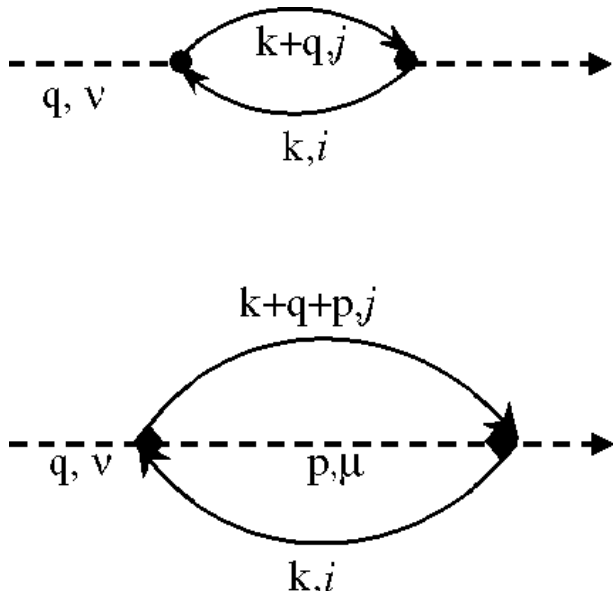


FIG. 9. Examples of the processes contributing to the phonon self energy in the linear (top) or quadratic (bottom) approximations for the EPC.

To understand these effects we should recall that in the linear coupling regime the effect of the electronic screening on the phonon self-energy (Fig.9, top) is defined by the same process that determines the contribution of the corresponding phonon to the total superconduction EPC constant. Indeed, the imaginary part of the phonon self energy (phonon linewidth) is related to  $\lambda_q$  as  $\gamma_q = \pi N(E_F) \omega_q^2 \lambda_q$ . At the same time, the real part of the same self-energy defines phonon softening. Only the phonons with  $q < 2k_F$  can couple with the  $\sigma$ -electrons, therefore they and only they become screened and softened by them. For a zone-center phonon, there is a quantitative measure of this softening [47]:

$$\Delta\omega^2 = -4\omega \langle g^2 \rangle N(E_F), \quad (1)$$

where the right-hand side does not depend on  $\omega$ . This quantity was calculated in Ref. [10] to be [48] approximately  $2 \times 0.51\omega^2 \approx 1.02 \times 503^2 \text{ cm}^{-2}$ . This corresponds to a bare frequency of  $715 \text{ cm}^{-1}$ . In the same work, the frequency of the  $E_{2g}$  phonon away from the  $\Gamma$  point was calculated to be around  $640 \text{ cm}^{-1}$ . Softening from  $715$  to  $640 \text{ cm}^{-1}$  must therefore be coming from the screening due to the  $\pi$ -electrons. Given high sensitivity of phonon frequencies to the  $k$ -mesh convergency, one can probably say that first principle calculations give a softening due to  $\sigma$ -electrons of  $75$ - $100 \text{ cm}^{-1}$ .

Eq. 1 is based on the linear approximation, that is, EPC is proportional to the first derivative with respect to the phonon coordinate. This is, however, not an easily justifiable approximation in case of  $\text{MgB}_2$ : as we saw above, the second-order EPC vertex,  $g^{quad}$ , is anomalously large. In this case one has to consider in the phonon renormalization processes corresponding to creation/annihilation of an electron-hole pair, associated with emission/absorption of two  $E_{2g}$  phonons, as illustrated in Fig.9 (bottom). Note that the corresponding diagrams are temperature dependent, therefore producing intrinsically anharmonic phonons, as observed in the frozen phonon calculations. Quadratic EPC is a long known phenomenon (see, e.g., Refs. [49–51]), although most authors concentrated on its effect on superconductivity and mass renormalization, rather than on phonon frequencies.

In order to gain a better insight into the interrelation between the anharmonicity, quadratic coupling, and frozen phonons, let us look for the reason for the anomalously large quadratic vertex. One can conveniently write the dispersion of the two  $\sigma$ -bands as  $\epsilon_{\mathbf{k}} = u_{\mathbf{k}} \pm v_{\mathbf{k}}$ , where both  $u$  and  $v$  are quadratic functions of  $k$ , and  $v = 0$  at  $k = 0$ . The function  $u$  describes the average dispersion neglecting hybridization between the two bands, while  $v$  describes the hybridizations. Both functions depend on the frozen phonon coordinate, but in a different way: for a given point  $\mathbf{k}$ ,  $u$  is an odd function of the phonon coordinate,  $du_{\mathbf{k}}/dQ \neq 0$ ; however, any symmetry lowering increases hybridization between the two  $\sigma$  bands, therefore



$v$  is an even function of  $Q$ ,  $dv_{\mathbf{k}}/dQ = 0$ ,  $d^2v_{\mathbf{k}}/dQ^2 \neq 0$ . At the  $\Gamma$  point  $du/dQ = 0$ , therefore only nonlinear coupling remains; when going away from the  $\Gamma$  point, a nonzero linear component appears (which is responsible for a large calculated EPC in Refs. [29,41,10,30]), and quadratic coupling gradually vanishes. Correspondingly, the smaller is the number of holes in the  $\sigma$  band the stronger are anharmonic effects in the phonon frequency.

The same can be seen from the point of view of the frozen phonon calculations. These amount to calculating total energy of a crystal with fixed ionic displacement comparable with, or smaller than the amplitude of the zero-point oscillations. This energy remains more or less harmonic as long as the frozen displacement does not incur any change in the Fermi surface topology. This “critical” displacement becomes smaller when the  $\sigma$ -pockets get filled, therefore yielding more and more anharmonic phonons, in perfect agreement with the reasoning above.

The interrelated nonlinearity and anharmonicity have competing effects on superconductivity. Anharmonic hardening of the phonon reduces effective EPC constant (Table I), while two-phonon exchange provides an additional contribution to  $\alpha^2F(\omega)$  at frequencies roughly twice the frequency of the  $E_{2g}$  phonon. The latter effect was never reliably calculated. Estimates of Yildirim *et al* [46] allow one to assume that nonlinear EPC increases the coupling constant for  $\sigma$  bands by at least 5%, although this is probably the lower estimate.

#### IV. MULTIBAND EFFECTS IN SUPERCONDUCTIVITY

Already in the first months after the discovery of superconductivity in  $\text{MgB}_2$  experiments appeared that were not consistent with a conventional strong coupling superconductivity scenario. It was observed that the critical field [52], specific heat [53] and tunneling [54] measurements are easier to explain if two gaps are assumed instead of one. Liu *et al* [10] proposed, based on electronic structure and EPC calculations, that there are, in fact, two distinctive gaps associated with  $\sigma$ - and  $\pi$ -Fermi surfaces. This “two-gap” model gained popularity, and it became clear that the EPC calculations needed to be performed separately for the two sets of bands.

With this in mind, the results of Ref. [10] and subsequently of Ref [29] were broken in a 4x4 EPC coupling matrix, as well as in a 2x2 matrix (Table II). Ref. [30] does not report the corresponding 2x2 matrix, but it can be reasonably accurately restored from the figures in that paper. Detailed calculations [30] show that in the ideally clean limit the variation of the order parameter, apart from the  $\sigma - \pi$  difference, are less than 10%. As discussed below, such a variation cannot exist in real sample even with an extremely small impurity concentration,

therefore it is of little interest to use more than 2x2 EPC matrix in any physically relevant discussion.

#### A. General Theory

The famous BCS formula is derived in the assumption that the pairing amplitude (superconducting gap, order parameter) is the same at all points on the Fermi surface. The variational character of the BCS theory makes one think that giving the system an additional variational freedom of varying the order parameter over the Fermi surface should always lead to a higher transition temperature. This problem was solved first in 1959 by Matthis, Suhl, and Walker [56] and by Moskalenko [57]. The general solution was given later by several authors (probably in the most developed form by Allen and collaborators [58]), and for our purpose can be written as

$$\Delta(\mathbf{k}) = \int \Lambda(\mathbf{k}, \mathbf{k}') \Delta(\mathbf{k}') F[\Delta(\mathbf{k}'), T] d\mathbf{k}', \quad (2)$$

where summation over  $\mathbf{k}$  implies also summation over all bands crossing the Fermi level. The matrix  $\Lambda$  characterizes the electron-phonon interaction, and the temperature dependence is given by the function  $F = \int_0^{\omega_D} dE \tanh(\frac{\sqrt{E^2 + \Delta^2}}{2T}) / \sqrt{E^2 + \Delta^2}$ . For the purpose of this paper it suffices to use the discrete (also called disjoint) representation, where it is assumed that the order parameter  $\Delta$  varies little within each sheet of the Fermi surface, while differing between the different sheets:

$$\Delta_i = \sum_j \Lambda_{ij} \Delta_j F(\Delta_j, T), \quad (3)$$

where  $i, j$  are the band indices and  $\Lambda$  is an *asymmetric* matrix related to the *symmetric* matrix of the pairing interaction,  $\Lambda_{ij} = V_{ij} N_j$ , where  $N_i$  is the contribution of the  $i$ -th band to the total DOS. It can be shown that in the BCS weak coupling limit the critical temperature is given by the standard BCS relation,  $kT_c = \hbar\omega_D \exp(-1/\lambda_{eff})$ , where  $\lambda_{eff}$  is the largest eigenvalue of the matrix  $\Lambda$ . The ratios of the individual order parameters are given by the corresponding eigenvector. Note that although the matrix  $\Lambda$  is not symmetric, its eigenvalues are the same as those of the symmetric matrix  $\sqrt{N}V\sqrt{N}$ .

TABLE II. 2x2 EPC matrices in different calculations.

Ref. [10]	Ref. [55]	Ref. [30] <sup>a</sup>
0.96 0.17	1.02 0.16	0.78 0.11
0.23 0.29	0.21 0.45	0.15 0.21

<sup>a</sup> Obtained by integrating  $\lambda(\mathbf{k}, \mathbf{k}')$  distribution plots from Ref. [30].

The mass renormalization parameters for each band can be constructed from the matrix  $\Lambda$ :  $\lambda_i = \sum_j \Lambda_{ij}$ . These  $\lambda_i$  define, among other things, the de Haas-van Alphen thermal masses. Finally, the renormalization of the specific heat is given by the weighted average of  $\lambda_i$ ,  $\bar{\lambda} = \sum_i N_i \Lambda_{ij} / N_{tot} = \sum_{ij} N_i \Lambda_{ij} N_j / N$ , which is also the “Eliashberg” coupling constant determining the superconductivity in the isotropic limit, where all order parameters are constrained to be the same. One can show that  $\bar{\lambda} \leq \lambda_{eff}$ , the equality being achieved when and only when all elements of the  $V$  matrix are the same (the relative magnitude of  $N_i$  is irrelevant). Physically this result is obvious: the BCS theory can be formulated as a variational theory. Therefore a bigger energy gain, and a higher critical temperature, can be achieved if more variational freedom is provided, *e.g.*, by allowing different order parameters in the different bands.

### B. Impurity scattering

In this Section we will outline nontrivial effects related to impurity scattering in a multigap superconductor. The discussion will mostly follow Ref. [59], where more details can be found. In the Born approximation, and close to  $T_c$ , the problem can be solved analytically. It appears that nonmagnetic impurities suppress superconductivity in much the same way, as magnetic ones do in a regular superconductor, however, only the *interband* impurity scattering has a pair-breaking effect. In the weak nonmagnetic scattering limit, for two bands, the  $T_c$  suppression is

$$\frac{\delta T_c}{T_c} = -\frac{\pi \gamma_{12}}{8kT_c} \frac{(\Delta_1 - \Delta_2)(\Delta_1 N_2 - \Delta_2 N_1)}{(\Delta_1^2 + \Delta_2^2)N_2}, \quad (4)$$

where  $\gamma_{12} \equiv \gamma_{21} N_2 / N_1$  is the interband scattering rate. Note that the  $T_c$  suppression is linear in  $\gamma_{12}$ . This formula also gives us a clue about what is a weak and what is a strong scattering in the specific case of  $\text{MgB}_2$ : small scattering is when  $\gamma_{12} \ll (\delta \Delta^2 / \bar{\Delta}^2) T_c$ , where  $\delta \Delta$  is the variation of the gap between the bands, and  $\bar{\Delta}$  is the average gap. The ratio of the  $\sigma$ - and the  $\pi$ - band gaps is, experimentally and theoretically, of the order of 3. The densities of states are comparable. Therefore a  $T_c$  suppression of 1 K would require an interband scattering rate of the order of 1 meV. It is a fortunate and rather unexpected coincidence that the symmetry of the electronic states conspire in such a way as to make the interband scattering rate quite small even in rather dirty samples [60]. Only because of this conspiracy we are actually able to observe two distinctive gaps in this compound.

On the other hand, the variation of the gap within each of the two band systems, calculated in Ref. [30], which is of the order of 7%, cannot survive a  $\sigma - \sigma$  impurity or phonon scattering stronger than  $\sim 0.01$  meV, and therefore is unobservable in samples of any imaginable quality.

In the strong interband scattering limit a complete isotropization of all Fermi surfaces takes place. This limit is achieved [59] when the interband scattering rate becomes larger than the relevant phonon frequency, in our case,  $600 \text{ cm}^{-1} \approx 75 \text{ meV}$ . Then the two gaps merge to one, the isotropic BCS gap, and the critical temperature drops to its isotropic value. Strong coupling calculations of Ref. [30] predict the latter to be around 19 K. Indeed, recent experiments on irradiated samples [61] demonstrated a reduction of the gap ratio by 40%, accompanied by a  $T_c$  reduction by 22%. One should note, however, that the results of Ref. [61], while qualitatively consistent with the prediction of the two-band model, quantitatively do not agree with them. Similar results were reported in Ref. [62].

### C. Strong coupling and Coulomb pseudopotential

It is relatively straightforward to extend the theory of multiband superconductivity beyond the weak coupling BCS model [58]. Qualitatively one can easily understand the main effect of the strong coupling by recalling the McMillan equation:

$$kT_c = \frac{\hbar \omega_{\log}}{1.2} \exp \left[ \frac{-1.02(1 + \lambda)}{\lambda - \mu^*(1 + 0.62\lambda)} \right]. \quad (5)$$

Qualitatively, this equation can be understood as renormalized BCS equation,  $kT_c = \hbar \omega_{ph} \exp[-1/(\lambda - \mu^*)]$ , where  $\omega_{ph} = \omega_{\log}/1.2$ , and the mass renormalization has been applied to  $\lambda$ ,  $\lambda \rightarrow \lambda/(1 + \lambda)$ . We already know that the multiband version of the BCS equation differs from this in that  $\lambda$  is substituted by an effective  $\lambda_{eff}$ , the largest eigenvalue of the matrix  $\Lambda$ . The effect of Coulomb repulsion, introduced in the BCS model *via* the Coulomb pseudopotential  $\mu^*$ , is likewise introduced in its multiband version *via* the *matrix*  $\mu_{ij}^*$ . The multiband analog of the McMillan equation is, therefore,

$$kT_c = \frac{\hbar \omega_{\log}}{1.2} \exp \left[ \frac{-1}{(\lambda - \mu^*)_{eff}} \right], \quad (6)$$

where  $(\lambda - \mu^*)_{eff}$  is defined as the maximum eigenvalue of the matrix

$$\Lambda_{ij}^{eff} = \frac{\Lambda_{ij} - \mu_{ij}^*(1 + 0.62 \sum_n \Lambda_{in})}{1 + \sum_n \Lambda_{in}}. \quad (7)$$

This expression gives the results very close to the full solution of the multiband Eliashberg equations.

The Coulomb pseudopotential matrix is not a constant, as it is sometimes believed [30]. First of all, already the *bare* pseudopotential matrix,  $\mu_{ij}$ , is not uniform. Indeed, it is formally defined as  $\langle \langle V_C \rangle \rangle_{ij} N_j$  (where  $V_C$  is the screened Coulomb interaction, and the averaging is over the corresponding Fermi surfaces), and as

had been noticed, for instance, by Agterberg *et al* in another compound [63], when different bands have different orbital character, the Coulomb matrix elements between these bands are suppressed compared to intra-band matrix elements. Jepsen and Andersen [64] estimated this effect, using the tight-binding LMT0 method and found the ratio between  $\langle\langle V_C \rangle\rangle_{\sigma\sigma}$ ,  $\langle\langle V_C \rangle\rangle_{\pi\pi}$  and  $\langle\langle V_C \rangle\rangle_{\sigma\pi}$  to be  $\approx 3:2.5:1$ . Furthermore, any anisotropy in *bare* pseudopotential is further enhanced in the renormalized  $\mu_{ij}^*$ . In the one-band case  $\mu$  is renormalized as  $\mu^* = [\mu/(1 + \mu \log(W/\omega_{\log}))]$ , where  $W$  is a characteristic electronic frequency (of the order of the bandwidth or plasma frequency). For a multiband case we have a matrix equation, which is a natural extension of the standard procedure [65]

$$\mu_{ij}^* = \mu_{ij} - \sum_n \mu_{in} \log(W_n/\omega_c) \mu_{nj}^*. \quad (8)$$

It is easy to show that renormalization enhances any nonuniformity in  $\mu$ ; indeed, assuming  $\mu_{\sigma\sigma} = \mu_{\pi\pi} = \alpha\mu_{\sigma\pi}$ , ( $\alpha > 1$ ), and  $\mu_{\sigma\sigma} \log(W_\sigma/\omega_c) = \mu_{\pi\pi} \log(W_\pi/\omega_c) = L$ , we obtain  $\alpha^* = \alpha + (\alpha - 1/\alpha)L$ . From the ratios of  $\langle\langle V_C \rangle\rangle$ 's above,  $\alpha \sim 2.3$ , and  $L$  for MgB<sub>2</sub> is of the order of 0.5 - 1, so for  $\mu_{ij}^*$  it holds that  $\mu_{\sigma\sigma}^* = \mu_{\pi\pi}^* \sim 4\mu_{\sigma\pi}^*$ ,

The fact that the matrix  $\mu_{ij}^*$  is approximately diagonal is of utmost importance. Various calculations [10,29,30] differ in details, but all agree that the interband electron-phonon coupling constant is 0.15-0.2. Since the order parameter in the  $\pi$  band is induced by the  $\sigma$  band (except for the very low temperature), if a Coulomb repulsion offsets most of the interband coupling, the induced gap becomes vanishingly small. If  $\mu_{\sigma\pi}^*$  were of the order of  $\mu_{ii}^* \approx 0.1$ , the gap ratio  $\Delta_\sigma/\Delta_\pi$  would be much larger than the observed ratio of approximately 3. It is worth mentioning that this is in direct contradiction with a popular misconception that “the superconducting properties of MgB<sub>2</sub> are not very sensitive to  $\mu^*$ ” [30]; they are not only in the one-band picture. To demonstrate this, we performed [64] 2x2 Eliashberg calculations using the electron-phonon interaction from Ref. [30]. Although the authors of Ref. [30] do not break down their results for the electron-phonon coupling in a 2-band form, which would have made them easier to analyze, one can find the 2x2 matrix corresponding to their calculations by integrating the  $\lambda(\mathbf{k}, \mathbf{k}')$  distribution depicted in their graphs (Table II). It appeared that with  $\mu^*(\omega_c)=0.12$ , used in Refs. [30], the ratio  $\Delta_\sigma/\Delta_\pi$  at zero temperature is 4.3 and the critical temperature  $T_c = 45$  K. On the other hand, calculations with a *diagonal* matrix,  $\mu_{\sigma\pi}^* = 0$ ,  $\mu_{\sigma\sigma} : \mu_{\pi\pi} = N_\sigma : N_\pi$ , produced  $T_c = 39$  K and  $\Delta_\sigma/\Delta_\pi = 3.1$ .

## D. Normal transport

A closer look at normal transport in MgB<sub>2</sub> reveals several phenomena which are hard to understand. First, there is a severe violation of the Matthiessen rule: samples with large residual resistivity tend to have much stronger temperature dependence of the resistivity than “clean” samples. Second, optical conductivity does not seem to obey the Drude-Lorenz law; if one attempts a Drude-Lorenz fit to experimental spectra, the extracted plasma frequency is 5 times smaller than expected. Many researchers believe that these problems are due to extrinsic effects like grain boundaries. While future experiments will clarify this matter, it is interesting to observe that multiband effects can actually explain such observations rather easily.

The theory of multiband effects in electric transport has been developed by Allen and co-workers [66]. One important qualitative statement can be made upfront: since the kinetic equation in a metal can be solved variationally with respect to the electric conductivity, giving a variational freedom for different bands to change their distribution functions separately should always result in an increase of the conductivity. In other words, while in the one-band theory the superconducting, the thermodynamic, and the transport PPC constants are usually similar (the first two being identical), in the multiband theory the former is always larger than in the corresponding one-band scenario, and the latter is always smaller. Quantitatively, one can write down the following formulas:

$$\sigma = e^2 \sum_{ij} (\rho^{-1})_{ij} \quad (9)$$

$$\rho_{ij} = t_{ij} / [\sum_{\mathbf{k}} v_{i\mathbf{k}}^2 \delta(\varepsilon_{i\mathbf{k}})] [\sum_{\mathbf{k}} v_{j\mathbf{k}}^2 \delta(\varepsilon_{j\mathbf{k}})] \quad (10)$$

$$t_{ij} = t_{ij}^{out} - t_{ij}^{in} \quad (11)$$

$$= \delta_{ij} \sum_{\mathbf{k}\mathbf{k}'n} P_{i\mathbf{k},n\mathbf{k}'} v_{i\mathbf{k}}^2 \delta(\varepsilon_{i\mathbf{k}}) \delta(\varepsilon_{n\mathbf{k}'}) \quad (12)$$

$$- \sum_{\mathbf{k}\mathbf{k}'} P_{i\mathbf{k},j\mathbf{k}'} v_{i\mathbf{k}} v_{j\mathbf{k}'} \delta(\varepsilon_{i\mathbf{k}}) \delta(\varepsilon_{j\mathbf{k}'}), \quad (13)$$

where  $v_{i\mathbf{k}}$  is the electron velocity along the direction of the current. The physical meaning of these formulas is just that of the parallel conductors formula, each element of the matrix  $\rho^{-1}$  representing a separate conductor. If the scattering probability  $P_{i\mathbf{k},j\mathbf{k}'}$  is reasonably isotropic, averaging over the Fermi surface renders  $t_{ij}^{in}$  very small. Neglecting it, and using the standard expressions for the phonon-limited and impurity parts of  $P_{i\mathbf{k},j\mathbf{k}'}$ , we have, for two bands,

$$1/\rho_{DC}(T) = \frac{1}{4\pi} \left( \frac{\omega_{pl\pi}^2}{\Gamma_\pi(T)} + \frac{\omega_{pl\sigma}^2}{\Gamma_\sigma(T)} \right), \quad (14)$$

$$\Gamma_{\sigma}(T) = \gamma_{\sigma\sigma} + \gamma_{\sigma\pi} + \frac{\pi}{T} \int_0^{\infty} d\omega \frac{\omega}{\sinh^2(\omega/2T)} \\ \times [\alpha_{\text{tr}}^2(\omega) F_{\sigma\sigma}(\omega) + \alpha_{\text{tr}}^2(\omega) F_{\sigma\pi}(\omega)],$$

where the plasma frequencies are defined in their usual way for each Cartesian direction. The disparity of the two band systems appears here in a trivial way, through different  $\omega_{\text{pl}}^2$ , and in a non-trivial way, through different  $\alpha_{\text{tr}}^2 F(\omega)$  and different  $\gamma$ . As described elsewhere in this Chapter, the electron-phonon scattering is much stronger in the  $\sigma\sigma$  channel than in the other channels, and the impurity scattering is essentially always small in the interband channel; furthermore, it is often much stronger in the  $\pi\pi$  channel than in the  $\sigma\sigma$  channel. The smallness of the interband impurity scattering is essential for the two-gap superconductivity; the sample-dependence of the *intragap*  $\gamma$ , especially of the  $\gamma_{\pi\pi}$ , is important for the understanding of the temperature dependence of the normal resistivity. Indeed, it is usually assumed that the impurity scattering is, in the first approximation, irrelevant for the temperature dependence of the resistivity. It is not necessarily true in a two-band system.

To start with, let us consider a very clean sample,  $\gamma_{ij} = 0$ . The in-plane conductivity at  $T = 0$  is defined by both bands, but mostly by the  $\pi$  band, because it has a larger plasma frequency. The out-of-plane conductivity, of course, is defined by the  $\pi$  band only. Closer to room temperature the contribution of the  $\sigma$  band becomes smaller and smaller, because of the strong EPC scattering in this band. Eventually, the high- $T$  behaviour is dominated by the  $\pi$  band with its small EPC constant. Temperature dependence at the high temperature (above room temperature) is therefore weak. Let us now consider a dirty sample with  $\gamma_{\pi\pi} \gg \gamma_{\sigma\sigma} \gg \gamma_{\sigma\pi}$ . Because of the strong impurity scattering,  $\pi$ -electrons contribute very little to superconductivity, so the temperature dependence is defined entirely by the EPC in the  $\sigma$  bands - and thus is strong. For a more detail discussion of these issues we refer the reader to the paper [60].

Similar effects are expected in optical conductivity; the relevant formulas differ from Eq.14 only in the sense that a frequency dependence of the EPC scattering should be taken into account in the usual way, and in the first line  $\Gamma_i(T)$  should be substituted by  $\Gamma_i(T) - i\omega$ . Nontrivial effects may be expected in the “dirty” regime [ $\gamma_{\pi\pi} \gg \gamma_{\sigma\sigma} \gg \gamma_{\sigma\pi}$ ]. In this regime the Drude peak in optical conductivity that stems from the  $\pi$ -electrons broadens, possibly beyond recognition, and manifests itself merely as a flat background. Analyzing such a conductivity will uncover only one Drude peak, the one due to  $\sigma$ -electrons, with a much reduced spectral weight, compared to the total plasma frequency. Moreover, if  $\gamma_{\sigma\sigma} \lesssim \omega_{ph} \approx 70$  meV, where  $\omega_{ph}$  is the frequency of the  $E_g$  phonon, the Drude peak is further renormalized by the EPC and its spectral weight is reduced by a factor of  $(1+\lambda)$ . Further discussion can be found in Ref. [67].

## V. CONCLUSION

MgB<sub>2</sub> is an unusual superconductor. It is not as far from conventional materials as high- $T_c$  cuprates, or triplet Sr<sub>2</sub>RuO<sub>4</sub>. The pairing symmetry is  $s$ , the driving force is electron-phonon interaction. However, several factors distinguish MgB<sub>2</sub> from such more usual superconductors as Nb, Nb<sub>3</sub>Si, or even (B,K)BiO<sub>3</sub>, to name a few. The differences mainly stem from the fact that the charge carriers in MgB<sub>2</sub> fall into two distinctive groups:  $\pi$ -electrons, similar to those in graphites, and  $\sigma$ -electrons, which represent highly unusual case of covalent bands crossing the Fermi level. Only the latter group demonstrate an anomalously strong interaction, and only with two phonons with sufficiently small wave vectors.

This leads to a complex of uncommon features in the band structure, transport properties, and superconductivity. In particular, the superconducting state is characterized by two distinctively different order parameters. Special symmetry of electronic states strongly suppresses the pair scattering by impurities from one band system to the other, thus making the two-gap superconductivity surprisingly insensitive to sample quality. MgB<sub>2</sub> appears to be fairly unique, and, from our point of view, it is not very likely that this compound can be optimized by a chemical modification to raise substantially its critical temperature, as opposed, for example, to high- $T_c$  cuprates.

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